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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

BROOKS ET AL. 10/533,192 Office Action Summary Examiner Art Unit

Application No.

Applicant(s)

		MING CHEUNG PO	1797			
Period fo	The MAILING DATE of this communication app	ears on the cover sheet with th	ne correspondence ad	dress		
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Status						
2a)□	Responsive to communication(s) filed on <u>07 Or</u> This action is FINAL . 2b) This Since this application is in condition for allowan closed in accordance with the practice under <u>E</u>	action is non-final. ce except for formal matters,	•	e merits is		
Disposition of Claims						
4)⊠ 5)□ 6)⊠ 7)□	Claim(s) <u>1-61</u> is/are pending in the application. 4a) Of the above claim(s) is/are withdraw Claim(s) is/are allowed. Claim(s) <u>1-51</u> is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restriction and/or					
Applicati	ion Papers					
10)□	The specification is objected to by the Examiner The drawing(s) filed on is/are: a) acce Applicant may not request that any objection to the c Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Ex	pted or b) objected to by the drawing(s) be held in abeyance. on is required if the drawing(s) is	See 37 CFR 1.85(a). s objected to. See 37 C			
Priority (ınder 35 U.S.C. § 119					
12)⊠ a)	Acknowledgment is made of a claim for foreign All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior application from the International Bureau See the attached detailed Office action for a list of	have been received. have been received in Applity documents have been received (PCT Rule 17.2(a)).	cation No eived in this National	Stage		
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Attachmen	t(S)					

- 1) Notice of References Cited (PTO-892)
- Notice of Draftsperson's Patent Drawing Review (PTO-948)
 Information Disclosure Statement(s) (PTO/S5/05)
 - Paper No(s)/Mail Date 4/28/2005.

4) Interview Summary (PTO-413)

Paper No(s)/Mail Date. ___ 5) Notice of Informal Patent Application

6) Other: __

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DETAILED ACTION

Claim Rejections - 35 USC § 102

 The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- Claims 1-3, 5-10, 12, 13, 15, 16, 19-25, 43, 45, 46, 48-51 are rejected under 35
 U.S.C. 102(b) as being anticipated by WAUGH (US 4,936,045).

Regarding claims 1 and 45, WAUGH teaches a process for the preparation of demineralized coal in lines 55 - 68 of column 2 and lines 1 - 13 of column 3, comprising the steps of

- (A) forming a slurry of coal particles, preferably at least 50% by weight of which particles having a maximum dimension of at least 0.5mm, with an aqueous solution of an alkali, which solution has an alkali content of from 5 to 30% by weight, such that the slurry has an alkali solution to coal ratio on a weight basis of at least 1:1 A ratio of 1:1 alkali solution to coal is evidence of a coal content of 5% to 30% by weight. ((a) of present claim 1: forming a slurry of coal particles in an alkali solution, said slurry containing 10% 30% by weight coal:).
- (B) maintaining the slurry at a temperature of from 150 to 300 C, preferably 170 C to 230 C, for a period of from 2 to 20 minutes substantially under autogeneous hydrothermal pressure and rapidly cooling the slurry to a temperature of less than 100 C. (b) of present claim 1: maintaining the slurry at a temperature of 150 250 C

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under a pressure sufficient to prevent boiling).

- (C) separating the slurry in to alkalized coal and a spent alkali leachant solution ((c) of present claim 1: separating the slurry into an alkalized coal and a spent alkali leachant).
- (E) acidifying the alkalized coal by treatment with an aqueous solution of sulpuric or sulphurous acid to yield a slurry having a pH of from 0.4 to 1.4 and a conductivity of from 10,000 to 100,00 us ((d) of present claim 1: forming an acidified slurry of the alkalized coal, said slurry having a pH of 0.5 1.5),
- (F) separating the slurry into acidified coal and a spent acid leachant solution ((e) of present claim 1: separating the acidified slurry into a coal-containing fraction and a substantially liquid fraction)
- (G) washing the acidified coal. WAUGH further elaborates in lines 25 38 of column 5 that the acidified coal with be first washed with a fresh acid soluition of about pH 1. A specific example is given in lines 7 14 of column 6. A coal filter cake after acidifaction was treated with 0.1M sulphuric acid and maintained at pH of 1 with sufficient water. ((f) of present claim 1: subjecting the coal-containing fraction to a washing step in which the coal-containing fraction is mixed with water and a polar organic solvent or water and an organic acid to form a mixture)

The mixture is then taught in lines 10 - 11 to be filtered. ((g) of present claim 1: separating the coal from the mixture in step (f))

Regarding claims 2 and 3, WAUGH teaches in lines 53 – 66 of column 5 that the particle size of the coal provided is 200µm (coal provided to step (a) of present claim

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1 is 100% less than 1mm and 100% less than 0.5 mm).

Regarding claim 5 and 6, WAUGH teaches in lines 40 - 53 of column 7 that the slurry conc may be 29% (slurry formed in step (a) of present claim 1has a coal concentration of from 10% to 30% by weight and about 25% by weight).

Regarding claims 7 and 8, WAUGH teaches in lines 43 - 46 of column 3 that the alkali concentration should preferably in the range of 5% to 20% (range of 8% t o 20% by weighty and from 13% to 15% by weight).

Regarding claim 9, WAUGH teaches in lines 47 - 57 of column 3 that temperatures of $150 \text{ C} - 300^{\circ}\text{C}$ are feasible, temperature of 170 - 230 C are usually adequate ($150 - 250^{\circ}\text{C}$).

Regarding claim 10, WAUGH teaches in lines 68 of column 3 and lines 1-3 of column 4 that residence times of an hour or more are not excluded and may be appropriate when low alkaline leaching temperatures are chosen (60 minutes).

Regarding claim 12, WAUGH teaches in lines 64- 68 of column 2 that the slurry is substantially under autogeneous hydrothermal pressure (autogeneous pressure to prevent the slurry from boiling).

Regarding claim 13, WAUGH teaches in lines 27 – 30 of column 6 that the slurry was recovered at 80°C (80°C)

Regarding claim 15, WAUGH teaches in lines 67 - 68 of column 5 that the slurry was washed with water to remove excess alkali (the alkalized coal recovered is washed to remove excess alkali).

Regarding claim 16, WAUGH teaches in lines 37 - 48 of column 4 that the

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alkalized coal and spent leachant should preferably be separated quickly. The spent leachant is mixed with calcium oxide or calcium hydroxide to precipitate the soluble silicate and aluminate ions as their insoluble calcium salts (treated to remove sodium aluminosilicates therefrom prior to sending to step (d) of present claim 1).

Regarding claim 19, WAUGH teaches in lines 62 - 64 of column 4, the alkalized coal is acidified with a mineral acid (mineral acid)

Regarding claim 20, WAUGH teaches in lines 7 - 10 of column 3 that the alkalized coal is acidified with an aqueous solution of sulphuric or sulphurous acid (sulphuric acid).

Regarding claims 21 and 22, WAUGH teaches in lines 7 - 15 of column 5, he alkalized coal is acidied to a pH of about 1 as rapidly as possible. It is desirable to add the alkalized coal to an acidic solution of sufficient concentration to ensure that the reusing mixture in maintained as close as possible to pH 1 (slurry has a pH that falls in the range of 0.5 to 1.5 and specifically about 1.0).

Regarding claim 23 and 24, WAUGH does not appear to give the temperature of the slurry in present step (d). However, ambient temperature is from 20 to 23.5°C and WAUGH teaches in lines 64 – 65 of column 5 that the slurry is cooled to room temperature after alkalization and not heated again. Therefore the slurry is inherently with in the range from 20 C to 90°C as well as falling within the range of 30 to 60°C.

Regarding claim 25, WAUGH teaches in lines 7 – 13 of column 6 that the coal was treated with 0.1M sulphuric acid and maintained at pH of 1 with sufficient water and then stirred for 45 minutes (for a period of at least one minute)

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Regarding claim 43, WAUGH teaches in lines 37 – 39 of column 5 that the final washing of the acidified coal is carried out with water which may be deionized (coal recovered from step (g) is washed with water).

Regarding claim 46, WAUGH teaches in lines 55 – 69 of column 2 and lines 1 - 13 of column 3 of a process of demineralizing coal, comprising the steps of

- a) forming a slurry of coal particles, preferably at least 50% by weight of which partiles have a maximum dimension of at least 0.5mm, with an aqueous solution of an alkali, which solution has an alkali content of from 5 to 30% by weight, such that the slurry has an alkali solution to coal ratio on a weight basis of at least 1:1 (forming a slurry of coal particles in an alkali solution; said slurry containing 10% to 30% by weight coal).
- (b) maintaining the slurry at a temperature of from 150 to 300 C, preferably 170 C to 230 C, for a period of from 2 to 20 minutes substantially under autogeneous hydrothermal pressure and rapidly cooling the slurry to a temperature of less than 100 C (maintaining the slurry at a temperature of 150 250 C under a pressure sufficient to prevent boiling).
- (c) separating the slurry in to alkalized coal and a spent alkali leachant solution(separating the slurry into an alkalized coal and a spent alkali leachant),
- (d) regenerating the alkali leachant solution for reuse in step (a) above by the addition of calcium or magnesium oxide or hydroxide thereto to precipitate minerals therefrom.
 - (e) acidifying the alkalized coal by treatment with an aqueous solution of sulpuric

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or sulphurous acid to yield a slurry having a pH of from 0.4 to 1.4 and a conductivity of from 10,000 to 100,00 us (forming an acidifier slurry of the alkalized coal, said slurry having a pH of 0.5 – 1.5).

- (f) separating the slurry into acidified coal and a spent acid leachant solution (separating the acidified slurry into a coal-containing fraction and a substantially liquid fraction), and
 - (g) washing the acidified coal.

Regarding claim 48 and 49, WAUGH teaches in lines 43 - 51 of column 4 that the spent leachant is mixed with sufficient calcium oxide or calcium hydroxide to regenerate the alkaline leachant for recycling while simultaneously precipitating the soluble silicate and aluminate ions as their insoluble calcium salts (the spent alkali leachant is treated to regenerate caustic and to recover minerals using calcium oxide or calcium hydroxide).

Regarding claim 50, the spend leachant is the liquid fraction of step (e) (liquid fraction of (e) is treated to regenerate a caustic solution and to recover minerals).

Regarding claim 51, WAUGH teaches in lines 43 - 54 of column 4 that the spent leachant may be mixed with magnesium salts such as the mixed oxides or hydroxides of calcium and magnesium derived from dolomite (calcium oxide, calcium hydroxide, magnesium oxide, magnesium hydroxide or mixed oxides or hydroxide of calcium and magnesium derived from dolomite).

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Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all
obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

 Claims 4, 11, 14, 27, and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over WAUGH (US 4.936.045).

The above discussion of WAUGH is incorporated herein by reference.

Regarding claim 4, WAUGH does not seem to explicitly state that the coal provided in step (a) of present claim 1 contains 5% by weight smaller than 20 microns)
Regarding claim 4, WAUGH does not appear to explicitly disclose the coal provided in present step (a) contains 5% by weight smaller than 20 microns.

It would be obvious to one of ordinary skill in the art at the time of the invention to use coal that is 5% by weight smaller than 20 microns with a reasonable expectation of success.

Therefore, the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

Regarding claim 11, WAUGH does not appear to explicitly disclose that the slurry from present step (b) is maintained at a rate of less than 2°C per minute in the temperature range of 150 C to 250°C.

However, it would be obvious to one of ordinary skill in the art at the time of the invention to optimize the rate at less than 2°C per minute in the temperature range of

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150 C to 250°C with a reasonable expectation of success (see MPEP 2144.05).

Regarding claim 14, WAUGH teaches in lines 27 – 29 of column 6 that the slurry was heated to 200 C and then cooled to 80 C in 1.5 hrs.

WAUGH does not appear to explicitly disclose that the slurry from present step (b) is cooled to a temperature of from 30 – 80°C at a cool rate of less than 20°C/minute and at 2°C per minute whilst the temperature of the slurry is in the range of 240 C – 150 °C.

However, it would be obvious to one of ordinary skill in the art at the time of the invention to optimize the cooling period at a uniform rate of with a reasonable expectation of success (see MPEP 2144.05).

Regarding claims 27 – 28, WAUGH does not appear to explicitly disclose reslurrying the coal fraction from step (e) is re-slurried with water and acid and brought to a pH of beween 0.5 and 1.0 for a further period of greater than 1 minute.

However, it is well known in the art that re-slurrying can be used to get product that is more pure.

Therefore, it would be obvious to one of ordinary skill in the art to reslurry the coal fraction from step (e) with water and acid and brought to a pH of between of 0.5 and 1.0 for a further period of time of greater than 1 minute between one and four times.

Claims 26 and 29-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over WAUGH (US 4,936,045) and SCHAPIRO (US 4,618,346).

The above discussion of WAUGH, as applied to claims 4, 11, 14, 27, and 28, is

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incorporated herein by reference.

WAUGH does not seem to explicitly teach that the coal is maintained in contact with the acid solution in step (d) for at least a period of about 60 minutes.

However, SCHAPIRO teaches in lines 37 - 45 of column 4 that it is possible to leach minerals for a period up to 24 hours.

It would be obvious to one of ordinary skill in the art to optimize the period of time that the coal is in contact with the solution (see MPEP 2144.05).

Regarding claims 29 – 30, WAUGH does not seem to explicitly disclose mixing the coal-containing fraction with a solution of water and an alcohol selected from ethanol, methanol, propanol or mixtures thereof.

However, SCHAPIRO teaches in lines 50 – 60 of column 3 that an alcohol solution containing between one and four carbons is added to the coal to swell coal.

The motivation to do so can be found in the same lines. The alcohol is taught to enhance mineral removal by swelling the pores and wetting the surface of the coal.

Therefore, the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

 Claim 17, 18, 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over WAUGH (US 4,936,045) and SCHAPIRO (US 4,618,346) and further in view of SMIT (US 4,582,512).

The above discussion of modified WAUGH is incorporated herein by reference.

WAUGH does not seem to explicitly disclose mixing the coal from step (d) with water or an acid solution to obtain a slurry having a coal concentration that falls within

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the range of 5% to 20% by weight and about 10% by weight.

WAUGH also does not seem to explicitly disclose the coal mixed with water and a polar organic solvent such that a slurry having solids content of 10% to 30% by weight is formed.

However, SMIT teaches in lines 16 – 17 of column 3 of a coal slurry with solids concentration of about 10% to about 30% by weight. The slurries are taught in lines 67 -68 of column 2 and lines 1 – 4 of column 3 to be leached with acid solution.

It would be obvious to one of ordinary skill in the art to make the slurry that WAUGH teaches with the concentration of about 10% to about 30% by weight that SMIT teaches.

The motivation to do so can be found in lines 15 – 19 of column 3 of SMIT. SMIT teaches that the solids concentration is important. If too high, the agitation is difficult and too low, leaching is inefficient. About 10% to about 30 % is satisfactory.

Therefore, the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

Regarding claim 32, the slurry of modified WUAHG is originally with a pH of about 1 (pH of from 1.5 to 2.5)

 Claims 33 – 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over WAUGH (US 4,936,045) and SCHAPIRO (US 4,618,346) and SMIT (US 4,582,512) and further in view of MASSEY (US 4,364,740).

The above discussion of modified WAUGH is incorporated herein by reference.

Regarding claim 33. WAUGH does not seem to explicitly disclose that the slurry

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is heated to a temperature of from 240C to 280C in step (f).

However, MASSEY teaches in lines 15 - 30 of column 5, of the use of lower weight alcohols interacting with the coal at a temperature and pressure above the critical temperature and critical pressure of the alcohol for sufficient length of time to allow the supercritical fluid to saturate the pores of the coal.

It would have been obvious to one of ordinary skill in the art to heat the alcohol – coal slurries that modified WUAGH teaches to a critical temperature under a critical pressure that MASSEY teaches.

The motivation to do so can be found in lines 3 – 28 of column 6 that the product resulting from MASSEY's method yields enriched hydrocarbonaceous fraction such as coal with a mineral content that is substantially reduced from the original coal.

Therefore, the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

Regarding claim 34, MASSEY teaches in lines 29 – 30 of column 5 that the time is between about 5 seconds and 5 minutes.

Regarding claim 35, modified WAUGH does not appear to explicitly disclose the heating rate of the slurry.

However, it would be obvious to one of ordinary skill in the art to optimize the rate of heating (see MPEP 2144.05)

 Claims 29 – 31, 44, and 47 are rejected under 35 U.S.C. 103(a) as being unpatentable over WAUGH (US 4,936,045) and KINDIG (US 4,743,271).

The above discussion of WAUGH is incorporated herein by reference.

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Regarding claim 29 and 30, WAUGH does not appear to explicitly disclose ethanol as an organic solvent may be used to wash the coal-fraction. WAUGH does states that an organic acid with a sufficiently high dissociation constant such as acetic acid may be used. Acetic acid has a dissociation constant of 4.76. Ethanol has a dissociation constant of 15.9.

KINDIG teaches a process of removing contaminates to produce a higher purity coal, comprising physical separation, an acid preleach, a hydrofluoric acid leach, and a hydrochloric acid leach. KINDIG teaches a process for washing the coal product in lines 58 – 60 of column 6, lines 66 – 68 of column 6, and lines 1 – 6 of column 7. KINDIG teaches that alcohol may be added to the water washing.

It would be obvious to one of ordinary skill in the art to substitute the acetic acid that WAUGH teaches with the ethanol that KINDIG teaches.

The motivation to use ethanol as the solvent can be found lines 66-68 of column 6, and lines 1-6 of column 7 of KINDIG. KINDIG teaches that washing coal with a solution of ethanol and water may provide additional halogen removal. .

Therefore, the invention as a whole would have been obvious to one of ordinary skill in the art at the time the invention was made.

Regarding claim 31, KINDIG teaches in table 9 of column 17 that 20% solids slurry can be made and in test no. 231 of table 11 on column 19 that it may be done with a solution of 5% alcohol including ethanol.

Regarding claim 44, KINDIG does not seem to explicitly disclose that an ash content of 0.01 - 0.2% could be reached.

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However, KINDIG teaches in test no 20. in table 6 that the aqueous HCL leaching can result in a ash content of 0.15% after 30 minutes (demineralised coal recovered from step (g) has an ash content of from 0.01 - 0.2% by weight).

It would be obvious to one of ordinary skill in the art to leach the coal-containing fraction that WAUGH teaches with the process that KINDIG teaches involving hydroflouroic acid preleach, a hydrochloric leach, another hydrochloric leach and then washed and drained.

The motivation to do so can be found in lines 31 - 35 of column 5 and lines 56 – 58 of column 5 of KINDIG. KINDIG teaches that hydrofluoric leaches are especially effective in treating silicates and aluminosilicates and that hydrochloric leaches are especially effective in treating calcium and remaining aluminum.

Therefore, the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

Regarding claim 47, KINDIG teaches in test no. 221 of table 11 on column 18 that the water washes may be done in ambient temperature.

 Claim 36 - 42 are rejected under 35 U.S.C. 103(a) as being unpatentable over WAUGH (US 4,936,045) in view of KAMINO (US 4,424,062).

The above discussion of Modified WAUGH is incorporated herein by reference.

Regarding claims 36 and 37, WAUGH does not appear to explicitly state a washing step where the coal-containing fraction is mixed with water and an organic acid selected from citric acid.

However, KAMINO teaches that 2.0 to 10.0% of citric acid in lines 23 - 26 of

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column 4 maybe be used in a coal deashing process in an aqueous solution as explained in lines 24 - 30 of column 2.

It would be obvious to one of ordinary skill in the art at the time of the invention to use the 2.0 to 10.0 % citric acid solution that KAMINO teaches to wash the coal containing fraction after present step (f).

The motivation to do so can be found in lines 53-50 of column 2. KAMINO teaches that the process is a higher efficiency than standard processes and the operation can be carries out with very high safety.

Therefore, the invention as a whole would have been obvious to one of ordinary skill in the art at the time the invention was made.

Regarding claims 38 and 39, WAUGH teaches in lines 47 - 57 of column 3 that temperatures of $150 \, \text{C} - 300 \, \text{C}$ are feasible, temperature of 170-230 C are usually adequate ($150 - 250 \, \text{C}$ and $140 \, \text{C}$ and $160 \, \text{C}$).

Regarding claim 40, WAUGH teaches in lines 1 - 13 of a process of demineralizing coal 4,936,045 that comprise the step of maintaining the slurry substantially under autogeneous hydrothermal pressure (pressure at a level to prevent boiling)

Regarding claim 41, WAUGH teaches in lines 68 of column 3 and lines 1-3 of column 4 that residence times of an hour or more are not excluded and may be appropriate when low alkaline leaching temperatures are chosen (60 minutes).

Regarding claim 42, WAUGH does not seem to explicitly disclose a heating rate of between 2 C per minute and 20 C per minute.

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However, it would be obvious to one of ordinary skill in the art to optimize the heating rate.

Therefore, the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

Conclusion

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to MING CHEUNG PO whose telephone number is (571)270-5552. The examiner can normally be reached on 9:00 - 4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Caldarola can be reached on (571)272-1444. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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/Ellen M McAvoy/

Primary Examiner, Art Unit 1797

Ming Cheung Po Patent Examiner AU: 4112